

FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: WMI-004CN1

APPLICANTS: Sarachick, et al.

SERIAL NO.: 09/697,479

FILING DATE: October 26, 2000 GROUP: 2621

U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
✓	A1	5,452,372	09/19/95	Shirasaka et al.	382	199	11/22/94
	A2	5,786,855	07/28/98	Chen et al.	348	391	10/26/95
	A3	5,790,269	08/04/98	Masaki et al.	358	447	12/12/95
	A4	5,838,828	11/17/98	Mizuki et al.	382	236	12/12/95
	A5	5,848,352	12/08/98	Dougherty et al.	455	5.1	04/26/9
	A6	5,883,670	03/16/99	Sporer et al.	348	384	08/02/96
	A7	5,912,675	06/15/99	Laperriere	345	473	12/19/96
	A8	5,912,994	06/15/99	Norton et al.	382	283	10/27/95
	A9	5,969,755	10/19/99	Courtney	348	143	02/05/97
	A10	6,016,152	01/18/00	Dickie	345	436	08/01/97
	A11	6,084,588	07/04/00	De Haan	345	419	04/08/97
	A12	6,169,573 B1	01/02/01	Sampath-Kumar et al.	348	169	07/03/97
✓	A13	6,205,231 B1	03/20/01	Isadore-Barreca et al.	382	103	05/10/95

FOREIGN PATENT DOCUMENTS

EXA M. INIT.		DOCUMENT NUMBER	DATE	COUNTR Y CODE	CLASS	SUB CLAS S	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
✓	B1	0 840 241 A1	05/06/98	EP	G06F	17/30	10/23/97	N	Y
	B2	1 051 039 A2	11/08/00	EP	H04N	7/24	04/05/00	N	Y
	B3	96/36007	11/14/96	WO	G06K	9/00	05/10/96	N	Y
	B4	97/22083	06/19/97	WO	G06K	9/00	12/12/96	N	Y
	B5	97/33437	09/12/97	WO	H04N	9/74 9/75	03/06/97	N	Y
	B6	98/11512	03/09/98	WO	G06T	15/70	09/09/97	N	Y
✓	B7	99/52081	10/14/99	WO	G06T	15/70	03/31/99	N	Y

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✓	B8	00/46753	08/10/00	WO	G06T	11/40	02/02/00	N	Y
	B9	00/46754	08/10/00	WO	G06T	11/40	02/03/00	N	Y
	B10	00/63848	10/26/00	WO	G06T	15/70	04/13/00	N	Y
	B11	00/77664 A2	12/21/00	WO	G06F	17/00	06/08/00	N	Y
	B12	01/18676 A1	03/15/01	WO	G06F	17/00	09/02/00	N	Y
	B13	01/18678 A2	03/15/01	WO	G06F	17/00	09/07/00	N	Y
✓	B14	01/18706 A2	03/15/01	WO	G06F	17/60	08/28/00	N	Y

OTHER ART, JOURNAL ARTICLES, ETC.

EXA M. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)
✓	C1 Bove et al., "Adding Hyperlinks to Digital Television", Pgs. 795-801, SMPTE Journal, SMPTE Inc. Scarsdale, N.Y., US, Vol. 108, No. 11, November 1999
	C2 Brigger and Kunt, "Morphological Shape Representation For Very Low Bit-Rate Video Coding", pgs. 297-311, Signal Processing: Image Communication, NL, Elsevier Science Publishers, Amsterdam, Vol. 7, No. 4
	C3 Burill et al., "Time-Varying Sensitive Regions in Dynamic Multimedia Objects: a Pragmatic Approach to Content-Based Retrieval From Video", pgs. 213-223, Information and software Technology 1994
	C4 Chalom, "Statistical Image Sequence Segmentation Using Multidimensional Attributes", 202 pgs., Massachusetts Institute of Technology Philosophy Thesis, January 1998
	C5 Chalom, et al., "Segmentation of an Image Sequence Using Multi-Dimensional Image Attributes", 4 pgs., Proceedings of the ICIP-96, September 1998
	C6 Dakss et al., "Hyperlinked Video", Proceedings of the SPIE Conference on Multimedia Systems and Applications, Boston, November 1998, SPIE vol. 3528
	C7 Ginige et al. "Hypermedia Authoring" pgs. 24-35, University of Technology, Sydney, IEEE MultiMedia, Winter 1995
	C8 Hirata et al, "Content-Oriented Integration in Hypermedia Systems", (pg. 11-21), Washington, March 16-20, 1996, New York, ACM, US, vol. CONF. 7, 16 March 1996
	C9 IBM Technical Disclosure Bulletin, "User-Controlled Display of Hypermedia Links Via Mouse Location", Page 669, Volume 36, No. 8, August 1993
	C10 IBM Technical Disclosure Bulletin, "Visual Behavior of Multimedia Hypervideo Links for Motion Video", Page 409, Volume 37, No. 1, January 1994
	C11 Pardas et al., "3D Morphological Segmentation for Image Sequence Processing", pgs. 6.1_3.1 - 6.1_3.6, Nonlinear Digital Signal Processing, 17-20 January 1993, Barcelona, Spain. [online], [retrieved from the Internet] <URL:http://ieeexplore.ieee.org/ie15/6208/16581/00767733.pdf> [retrieved on 2001-07-16] abstract
	C12 PCT International Search Report for International Application No.: PCT/US01/06411, mailed on December 13, 2001
✓	C13 Salembier et al., "Segmentation-Based Video Coding System Allowing the Manipulation of Objects", pgs. 60-73, IEEE Transactions on Circuits and Systems for Video Technology, IEE Inc., New York, US, Vol. 7, No. 1, February 1997



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✓	C14	Tonomura, " Video Handling Based on Structured Information for Hypermedia Systems", pgs. 333-344, In Proceedings International Conference on Multimedia Information Systems, Singapore, 1991	
✓	C15	Vasconcelos et al., "Learning Mixture Hierarchies", 7 pgs., NIPS'98, 1998	
✓	C16	Vass et al., "Automatic Spatio-Temporal Video Sequence Segmentation", pgs. 958-962, Proceedings of the 1998 International Conference on Image Processing, 4-7 October 1998, Chicago, IL, USA, [online], [retrieved from the Internet] <URL: http://ieexplore.ieee.org/iel4/5852/15617/00723681.pdf > , [retrieved on 2001-07-16], abstract	
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STATEMENT BY APPLICANT

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Attorney Docket Number

50788/JEC/G476

Application Number

09/697,479

Filing Date

October 26, 2000

Applicant(s)

Jon Dakss

Group Art Unit

2611

Examiner Name

Ngoc K. Vu

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
✓ <i>WZP</i>		4,016,361	04-05-1977	Pandley
		4,122,477	10-24-1978	Gallo
		4,264,924	04-28-1981	Freeman
		4,264,925	04-28-1981	Freeman et al.
		4,507,680	03-26-1985	Freeman
		4,532,547	07-30-1985	Bennett
		4,573,072	02-25-1986	Freeman
		4,602,279	07-22-1986	Freeman
		4,743,959	05-10-1988	Frederiksen Technology Center 2600
		4,748,512	05-31-1988	Armstrong
		4,792,849	12-20-1988	McCalley et al.
		4,803,553	02-07-1989	Schrock et al.
		4,811,407	03-07-1989	Blokker, Jr. et al.
		4,829,372	05-09-1989	McCalley et al.
		4,847,698	07-11-1989	Freeman
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		4,847,700	07-11-1989	Freeman
		4,903,317	02-20-1990	Nishihara et al.
		4,941,040	07-10-1990	Pocock et al.
		4,959,718	09-25-1990	Bennett
		5,008,745	04-16-1991	Willoughby
		5,008,751	04-16-1991	Wischermann
		5,014,125	05-07-1991	Pocock et al.
✓		5,045,940	09-03-1991	Peters et al.

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✓		5,101,280	03-31-1992	Moranaga et al.
		5,124,811	06-23-1992	Ohsawa et al.
		5,130,792	07-14-1992	Tindell et al.
		5,195,092	03-16-1993	Wilson et al.
		5,208,665	05-04-1993	McCalley et al.
		5,267,333	11-30-1993	Aono et al.
		5,347,322	09-13-1994	Levine et al.
		5,404,173	04-04-1995	Parrish et al.
		5,452,006	09-19-1995	Auld
		5,499,050	03-12-1996	Baldes et al.
		5,504,534	04-02-1996	Sakaegi
		5,526,024	06-11-1996	Gaglianella et al.
		5,533,021	07-02-1996	Branstad et al.
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		5,583,561	12-10-1996	Baker et al.
		5,585,858	12-07-1996	Harper et al.
		5,590,262	12-31-1996	Isadore-Barreca
		5,600,775	02-04-1997	King et al.
		5,603,058	02-11-1997	Belknap et al.
		5,630,067	05-13-1997	Kindell et al.
		5,632,007	05-02-1997	Freeman
		5,640,601	06-17-1997	Peters
		5,664,354	07-01-1997	Thompson et al.
✓		5,665,050	08-05-1997	Yamamoto et al.

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Sheet 2 of 10

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✓		5,689,799	11-18-1997	Dougherty et al.
		5,708,845	01-13-1998	Wistendahl et al.
		5,715,176	02-03-1998	Mobini
		5,724,091	03-03-1998	Freeman et al.
		5,754,233	05-19-1998	Takashima
		5,754,250	05-19-1998	Cooper
		5,757,968	05-26-1998	Ando
		5,768,431	06-16-1998	Saunders et al.
		5,771,073	06-23-1998	Lim
		5,774,664	05-30-1998	Hidary et al.
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		5,805,204	09-08-1998	Thompson et al.
		5,815,634	09-29-1998	Daum et al.
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		5,835,146	11-10-1998	Stone
		5,845,083	12-01-1998	Hamadani et al.
		5,861,881	01-19-1999	Freeman et al.
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		5,918,012	06-29-1999	Astiz et al.

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✓ 2/3		5,926,172	07-20-1999	Hanley
		5,930,797	07-27-1999	Hill
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		5,946,318	08-31-1999	Post
		5,949,410	09-07-1999	Fung
		5,949,476	09-07-1999	Pocock et al.
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		6,018,372	01-25-2000	Etheredge
		6,018,768	01-25-2000	Ullman et al.
✓		6,023,703	02-08-2000	Hill

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✓		6,038,319	03-14-2000	Chari
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		6,049,539	04-11-2000	Lee et al.
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		6,072,839	06-06-2000	Mondal et al.
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		6,172,674 B1	01-09-2001	Etheredge
		6,181,334 B1	01-30-2001	Freeman et al.
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		6,223,211 B1	04-24-2001	Hamilton et al.
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RLP		6,341,178 B1	01-22-2002	Parker
		6,357,042 B2	03-12-2002	Srinivasan et al.
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EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)
RLP		EP 0 498 511 A1	08-12-1992	Moolenaar	
		EP 0 596 823 A2	05-11-1994	Gibson	
		EP 0 596 823 A3	05-11-1994	Gibson	
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		EP 0 649 102 A2	06-26-1996	Woodfield et al.	
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		EP 0 680 213 A2	11-02-1995	Menand et al.	
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		EP 0 834 798 A2	04-08-1998	Stautnet et al.	

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EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)
KEL		EP 0 841 610 A2	05-13-1998	Kaufman	
		EP 0 858 225 A1	08-12-1998	Tims et al.	
		EP 0 866 614 A1	09-23-1998	Weston et al.	
		EP 0 920 891 A1	06-09-1999	Walton et al.	
		EP 0 928 105 A1	07-07-1999	Kamada	
		EP 0 954 176 A2	11-03-1999	Dougherty et al.	
		EP 0 954 179 A2	11-03-1999	Travaille et al.	
		EP 0 977 389 A2	02-02-2000	Del Sesto et al.	
		EP 0 988 876 A1	03-29-2000	Malaure et al.	
		EP 1 005 885 A1	06-07-2000	Malaure et al.	
		EP 1 050 328 A1	11-08-2000	Malaure et al.	
		EP 1 052 854 A1	11-15-2000	Kydd	
		EP 1 061 739 A2	12-20-2000	Freeman et al.	
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		JP 0 717 0410	07-04-1995		
		JP 1 102 7540	01-29-1999	Nobuhito	X
		JP 5 512 4361	09-25-1980	Hiroshi	X
		WO 97/29458	08-14-1997	Freeman et al.	
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		WO 97/37497	10-09-1997	Astiz et al.	

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FORM PTO/SB/08A/B (10-01)
Substitute for PTO-1449A/B

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(See as many sheets as necessary)

Attorney Docket Number	50788/JEC/G476
Application Number	09/697,479
Filing Date	October 26, 2000
Applicant(s)	Jon Dakss
Group Art Unit	2611
Examiner Name	Ngoc K. Vu

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ² - Number ³ - Kind Code ⁴ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁵ ()
✓		WO 98/10586	03-12-1998	Tyson	
		WO 98/18259	04-30-1998	Chapman et al.	
		WO 98/41020	09-17-1998	Freeman et al.	
		WO 98/44435	10-08-1998	Chen et al.	
		WO 98/47084	10-22-1998	Qian	
		WO 98/43437	10-01-1998	Declerck et al.	
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		WO 98/57718	12-23-1998	Holmes et al.	
		WO 99/10822	03-04-1999	Efrat et al.	
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		WO 99/23833	05-14-1999	Lawrence et al.	
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		WO 99/23774	05-14-1999	Pennywitt et al.	
		WO 99/49658	09-30-1999	Pleyer et al.	
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✓		WO 00/07361	02-10-2000	Mao et al.	

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		WO 00/16544	03-23-2000	Freeman et al.	
		WO 00/18136	03-30-2000	Krishnamurthy et al.	
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		WO 00/40007	07-06-2000	Aspromonte et al.	
		WO 00/45599	08-03-2000	Rainsford	
		WO 00/46680	08-10-2000	Kamen et al.	
		WO 00/64166	10-26-2000	Gordon et al.	
		WO 01/17256 A1	03-08-2001	Kay et al.	
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		WO 01/67388 A2	09-13-2001	Dakss et al.	

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EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
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Applicant(s)

Jon Dakss

Group Art Unit

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Examiner Name

Ngoc K. Vu

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OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
2/3/01		Annex for form PCT/ISA/206, PCT International Search Report for International Application No. PCT/US01/10641, mailed on September 12, 2001
		Co-pending U.S. Application No 09/943,583, filed August 30, 2001, entitled METHOD AND APPARATUS FOR HYPERLINKING INA TELEVISION BROADCAST
		Co-pending U.S. Application No. 09/715,944, filed November 17, 2000, entitled METHOD AND APPARATUS FOR RECEIVING A HYPERLINKED TELEVISION BROADCAST
		Co-pending U.S. Application No. 09/695,900, filed October 25, 2000, entitled METHOD AND APPARATUS FOR INTERACTION WITH HYPERLINKS IN A TELEVISION BROADCAST
		Co-pending U.S. Patent Application No. 09/747,677; filed December 22, 2000, entitled Entitled: A Method and Apparatus for Switching Between Multiple Programs by Interacting with a Hyperlinked Television Broadcast
		Co-pending U.S. Patent Application No. 09/697,775; filed October 26, 2000, entitled METHOD AND APPARATUS FOR GENERATING DATA STRUCTURES FOR A HYPERLINKED TELEVISION BROADCAST
		Co-pending U.S. Patent Application No. 09/697,483, filed October 26, 2000, entitled METHOD AND APPARATUS FOR ENCODING VIDEO HYPERLINKS
		Co-pending U.S. Patent Application No. 09/697,774, filed October 26, 2000, entitled: METHODS FOR OUTLINING AND FILLING REGIONS IN MULTI-DIMENSIONS ARRAYS
		Nakanishi; <i>An Image Reduction Method Using MR Codes</i> ; pp. 1-10, Systems and Computer in Japan; Vol. 28, No. 7; 1997
		PCT International Search Report for International Application No. PCT/US01/06351, mailed on August 20, 2001
		PCT International Search Report for International Application No. PCT/US01/06458, mailed on August 20, 2001
		PCT International Search Report for Internati onal Application No. PCT/US01/06461, mailed on August 20, 2001
		PCT International Search Report for International Application No. PCT/US01/27046, mailed on November 14, 2001

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Sheet 10 of 10

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Attorney Docket Number	50788/SAH/G476
Application Number	09/697,479
Filing Date	October 26, 2000
Applicant(s)	Jon Dakss, et al.
Group Art Unit	2611
Examiner Name	Ngoc K. Vu

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U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
KRL		5,541,602	07-30-1996	Opris et al.
↓		6,473,804 B1	10-29-2002	Kaiser et al.

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Sheet 1 of 1

<p>FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B</p> <p>INFORMATION DISCLOSURE</p> <p>STATEMENT BY APPLICANT</p> <p>(use as many sheets as necessary)</p>	Attorney Docket Number	50788/SAH/G476
	Application Number	09/697,479
	Filing Date	October 26, 2000
	Applicant(s)	Karen Sarachik, et al.
	Group Art Unit	2611
	Examiner Name	Ngoc K. Vu

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
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FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ² - Number ³ - Kind Code ⁴ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
✓ 232		TSUNASHIMA, KENJI et al., An Integrated DTV Receiver for ATSC Digital Television Standard, 1998 IEEE, 0-7803-4357-3/98, pp. 148-149, Mitsubishi Electric Corporation, Kyoto, Japan
232		BENSON, K. BLAIR (Editor and Coauthor), Television Engineering Handbook, 3 sheets, Copyright 1992, 1986 by McGraw-Hill, Inc., New York, New York

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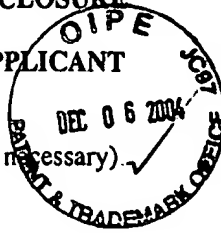
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Sheet 1 of 1

FORM PTO/SB/08A/B (10-01)
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INFORMATION DISCLOSURE
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Attorney Docket Number	50788/SAH/G476
Application Number	09/697,479
Filing Date	October 26, 2000
Applicant(s)	Karen Sarachik, et al.
Group Art Unit	2611
Examiner Name	N/A

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
✓ JBL ↓		5,371,519	12-06-1994	Fisher
		6,400,831 B2	06-04-2002	Lee et al.
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EXAMINER SIGNATURE	<i>JBL Patel</i>	DATE CONSIDERED	<i>5/11/05</i> ✓
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